

EXHIBIT E

FORM U5 UNIFORM TERMINATION NOTICE FOR SECURITIES INDUSTRY REGISTRATION

U5 - FULL			
02/16/2005			
<small>Rev. Form U5 (06/2003)</small>			
NOTICE TO THE INDIVIDUAL WHO IS THE SUBJECT OF THIS FILING			
<p><i>Even if you are no longer registered you continue to be subject to the jurisdiction of regulators for at least two years after your registration is terminated and may have to provide information about your activities while associated with this firm. Therefore, you must forward any residential address changes for two years following your termination date or last Form U5 amendment to: CRD Address Changes, P.O. Box 9495, Gaithersburg, MD 20898-9495.</i></p>			
1. GENERAL INFORMATION			
First Name: GENE	Middle Name: ALVA	Last Name: THANING	Suffix:
Firm CRD #: 8174	Firm Name: UBS FINANCIAL SERVICES INC.	CRD Branch #:	Firm NFA #:
Firm Billing Code: KW0	Individual CRD #: 1801835	Individual SSN: 558-46-7581	Individual NFA #:
Office of Employment Address Street 1: 2185 N CALIFORNIA BLVD		Office of Employment Address Street 2: SUITE 400	
City: WALNUT CREEK	State: California	Country: USA	Postal Code: 94596
Private Residence Check Box:			
If the Office of Employment address is a private residence, check this box. <input type="checkbox"/>			

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2. CURRENT RESIDENTIAL ADDRESS						
NOTICE TO THE FIRM						
<p><i>This is the last reported residential address. If this is not current, please enter the current residential address.</i></p>						
From	To	Street	City	State	Country	Postal Code
06/1974	PRESENT	110 ARLENE LANE	WALNUT CREEK	CA	United States	94596

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3. FULL TERMINATION	
<p>Is this a FULL TERMINATION? <input checked="" type="radio"/> Yes <input type="radio"/> No</p> <p>Note: A "Yes" response will terminate ALL registrations with all SROs and all jurisdictions.</p>	
Reason for Termination: * Discharge * Provide an explanation below	
<p>MR. THANING'S EMPLOYMENT WAS TERMINATED WHEN THE FIRM LEARNED THAT HE HAD SIGNED A CLIENT'S NAME TO A DOCUMENT AND</p>	

MISREPRESENTED HIS CONDUCT IN RESPONSE TO AN INQUIRY FROM MANAGEMENT REGARDING THE MATTER. MR. THANING DID NOT PROFIT FROM THIS CONDUCT.

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4. DATE OF TERMINATION
Date Terminated (MM/DD/YYYY): 01/19/2005 A complete date of termination is required for full or partial termination. This date represents the actual date that the termination of registration is effective.

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6. AFFILIATED FIRM TERMINATION

No Information Filed

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7. DISCLOSURE QUESTIONS
IF THE ANSWER TO ANY OF THE FOLLOWING QUESTIONS IN SECTION 7 IS 'YES', COMPLETE DETAILS OF ALL EVENTS OR PROCEEDINGS ON APPROPRIATE DRP(S). IF THE INFORMATION IN SECTION 7 HAS ALREADY BEEN REPORTED ON FORM U4 OR FORM U5, DO NOT RESUBMIT DRPs FOR THESE ITEMS. REFER TO THE EXPLANATION OF TERMS SECTION OF FORM U5 INSTRUCTIONS FOR EXPLANATION OF ITALICIZED WORDS.
Investigation Disclosure
<div style="text-align: right; font-weight: bold;">YES NO</div> <p>7A. Currently is, or at termination was, the individual the subject of an <i>investigation</i> or <i>proceeding</i> by a domestic or foreign governmental body or <i>self-regulatory organization</i> with jurisdiction over <i>investment-related</i> businesses? (Note: Provide details of an <i>investigation</i> on an Investigation Disclosure Reporting Page and details regarding a <i>proceeding</i> on a Regulatory Action Disclosure Reporting Page.)</p> <div style="text-align: right;"> <input type="radio"/> <input checked="" type="radio"/> </div>
Internal Review Disclosure
<div style="text-align: right; font-weight: bold;">YES NO</div> <p>7B. Currently is, or at termination was, the individual under internal review for fraud or wrongful taking of property, or violating <i>investment-related</i> statutes, regulations, rules or industry standards of conduct?</p> <div style="text-align: right;"> <input type="radio"/> <input checked="" type="radio"/> </div>
Criminal Disclosure
<div style="text-align: right; font-weight: bold;">YES NO</div> <p>7C. While employed by or associated with your <i>firm</i>, or in connection with events that occurred while the individual was employed by or associated with your <i>firm</i>, was the individual:</p> <ol style="list-style-type: none"> 1. convicted of or did the individual plead guilty or nolo contendere ("no contest") in a domestic, foreign or military court to any <i>felony</i>? <input type="radio"/> <input checked="" type="radio"/> 2. <i>charged</i> with any <i>felony</i>? <input type="radio"/> <input checked="" type="radio"/> 3. convicted of or did the individual plead guilty or nolo contendere ("no contest") in a domestic, foreign or military court to a <i>misdemeanor involving</i>: investments or an <i>investment-related</i> business, or any fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, <input type="radio"/> <input checked="" type="radio"/>

counterfeiting, extortion, or a conspiracy to commit any of these offenses? 4. charged with a misdemeanor specified in 7(C)(3)?		<input type="radio"/> <input checked="" type="radio"/>
Regulatory Action Disclosure		
7D. While employed by or associated with your <i>firm</i> , or in connection with events that occurred while the individual was employed by or associated with your <i>firm</i> , was the individual <i>involved</i> in any <i>disciplinary action</i> by a domestic or foreign governmental body or <i>self-regulatory organization</i> (other than those designated as a " <i>minor rule violation</i> " under a plan approved by the U.S. Securities and Exchange Commission) with jurisdiction over the <i>investment-related</i> businesses?	YES NO <input type="radio"/> <input checked="" type="radio"/>	
Customer Complaint/Arbitration/Civil Litigation Disclosure		
7E. 1. In connection with events that occurred while the individual was employed by or associated with your <i>firm</i> , was the individual named as a respondent/defendant in an <i>investment-related</i> , consumer-initiated arbitration or civil litigation which alleged that the individual was <i>involved</i> in one or more <i>sales practice violations</i> and which: (a) is still pending, or; (b) resulted in an arbitration award or civil judgment against the individual, regardless of amount, or; (c) was settled for an amount of \$10,000 or more. 2. In connection with events that occurred while the individual was employed by or associated with your <i>firm</i> , was the individual the subject of an <i>investment-related</i> , consumer-initiated complaint, not otherwise reported under question 7 (E)(1) above, which alleged that the individual was <i>involved</i> in one or more <i>sales practice violations</i> , and which complaint was settled for an amount of \$10,000 or more? 3. In connection with events that occurred while the individual was employed or associated with your <i>firm</i> , was the individual the subject of an <i>investment-related</i> , consumer-initiated, written complaint, not otherwise reported under questions 7(E)(1) or 7(E)(2) above, which: (a) would be reportable under question 14I(3)(a) on Form U4, if the individual were still employed by your <i>firm</i> , but which has not previously been reported on the individual's Form U4 by your <i>firm</i> ; or (b) would be reportable under question 14I(3)(b) on Form U4, if the individual were still employed by your <i>firm</i> , but which has not previously been reported on the individual's Form U4 by your <i>firm</i> .	YES NO <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input checked="" type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input checked="" type="radio"/>	
Termination Disclosure		
7F. Did the individual voluntarily <i>resign</i> from your <i>firm</i> , or was the individual discharged or permitted to <i>resign</i> from your <i>firm</i> , after allegations were made that accused the individual of: 1. violating <i>investment-related</i> statutes, regulations, rules or industry standards of conduct? 2. fraud or the wrongful taking of property? 3. failure to supervise in connection with <i>investment-related</i> statutes, regulations, rules or industry standards of conduct?	YES NO <input checked="" type="radio"/> <input type="radio"/> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input checked="" type="radio"/>	

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8. SIGNATURE				
<p>Please Read Carefully</p> <p>All signatures required on this Form U5 filing must be made in this section.</p> <p>A "Signature" includes a manual signature or an electronically transmitted equivalent. For purposes of an electronic form filing, a signature is effected by typing a name in the designated signature field. By typing a name in this field, the signatory acknowledges and represents that the entry constitutes in every way, use, or aspect, his or her legally binding signature.</p> <p>8A. FIRM ACKNOWLEDGMENT This section must be completed on all U5 form filings submitted by the <i>firm</i>.</p> <p>INDIVIDUAL ACKNOWLEDGMENT AND CONSENT</p> <p>8B. This section must be completed on amendment U5 form filings where the individual is submitting changes to Part II of the INTERNAL REVIEW DRP or changes to Section 2 (CURRENT RESIDENTIAL ADDRESS).</p>				
8A. FIRM ACKNOWLEDGMENT				
<p>I VERIFY THE ACCURACY AND COMPLETENESS OF THE INFORMATION CONTAINED IN AND WITH THIS FORM.</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top; padding: 5px;"> <p>Person to contact for further information MICHAEL SOLOMON</p> </td> <td style="width: 50%; vertical-align: top; padding: 5px;"> <p>Telephone # of person to contact 201-352-6669</p> </td> </tr> </table> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top; padding: 5px;"> <p>Signature of <u>Appropriate Signatory</u> RAYMOND ROBERTELLO</p> </td> <td style="width: 50%; vertical-align: top; padding: 5px;"> <p>Date (MM/DD/YYYY) 02/16/2005</p> </td> </tr> </table> <p>Type or Print Name of Appropriate Signatory _____</p>	<p>Person to contact for further information MICHAEL SOLOMON</p>	<p>Telephone # of person to contact 201-352-6669</p>	<p>Signature of <u>Appropriate Signatory</u> RAYMOND ROBERTELLO</p>	<p>Date (MM/DD/YYYY) 02/16/2005</p>
<p>Person to contact for further information MICHAEL SOLOMON</p>	<p>Telephone # of person to contact 201-352-6669</p>			
<p>Signature of <u>Appropriate Signatory</u> RAYMOND ROBERTELLO</p>	<p>Date (MM/DD/YYYY) 02/16/2005</p>			

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INVESTIGATION DRP
No Information Filed
Rev. Form U5 (06/2003)
INTERNAL REVIEW DRP
No Information Filed
Rev. Form U5 (06/2003)
CRIMINAL DRP
No Information Filed
Rev. Form U5 (06/2003)
TERMINATION DRP

<p>This Disclosure Reporting Page is an <input checked="" type="radio"/> INITIAL OR <input type="radio"/> AMENDED response to report details for affirmative response to Question 7F on Form U5;</p>
<p>Check question(s) you are responding to:</p>
<p>Termination</p>

<input checked="" type="checkbox"/> 7F(1)	<input type="checkbox"/> 7F(2)	<input type="checkbox"/> 7F(3)
<p>One event may result in more than one affirmative answer to the above items. Use only one DRP to report details related to the same termination. Use a separate DRP for each termination reported.</p>		
<p>1. Firm Name: UBS FINANCIAL SERVICES INC.</p>		
<p>2. Termination Type: Discharged</p>		
<p>3. Termination Date (MM/DD/YYYY): 01/19/2005 <input checked="" type="radio"/> Exact <input type="radio"/> Explanation If not exact, provide explanation:</p>		
<p>4. Allegation(s): MR. THANING'S EMPLOYMENT WAS TERMINATED WHEN THE FIRM LEARNED THAT HE HAD SIGNED A CLIENT'S NAME TO A DOCUMENT AND MISREPRESENTED HIS CONDUCT IN RESPONSE TO AN INQUIRY FROM MANAGEMENT REGARDING THE MATTER. MR. THANING DID NOT PROFIT FROM THIS CONDUCT.</p>		
<p>5. Principal Product Type: Other Other Product Types: NON-APPLICABLE</p>		
<p>6. Comment (Optional). You may use this field to provide a brief summary of the circumstances leading to the termination. Your information must fit within the space provided.</p>		

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REGULATORY ACTION DRP

No Information Filed

Rev. Form U5 (06/2003)
CUSTOMER COMPLAINT/ARBITRATION/CIVIL LITIGATION DRP

No Information Filed